PTO/SB/05 (01-04)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### UTILITY PATENT APPLICATION **TRANSMITTAL**

5996.00026 Attorney Docket No. Robert Guinn, Jr. First Inventor PLAYER TRACKING SYSTEM Title

(Only for new nonprovisional applications under 37 CFR 1.53(b))	Express Mail Label No. EV343626135US 450				
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO:  Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450				
1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27. 3. Specification [Total Pages 20 ] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s)	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or  ii. Paper  c. Statements verifying identity of above copies  ACCOMPANYING APPLICATION PARTS  9. Assignment Papers (cover sheet & document(s))  10. 37 CFR 3.73(b) Statement Power of (when there is an assignee)  11. English Translation Document (if applicable)  12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations  13. Preliminary Amendment  14. Return Receipt Postcard (MPEP 503)  (Should be specifically itemized)  15. Certified Copy of Priority Document(s)  (if foreign priority is claimed)  Nonpublication Request under 35 U.S.C. 122  (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  Check \$707.				
- Abstract of the Disclosure  4. Drawing(s) (35 U.S.C. 113) [Total Sheets6]  5. Oath or Declaration [Total Sheets2] a. Newly executed (original or copy)  b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)  i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76					
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) Of prior application No.:  Art Unit:					
For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS					
Customer Number: 29747	OR Correspondence address below				
Name					
Address					
City	State Zip Code .				
Country	elephone Fax				
Name (Print/Type) Rob L. Phillips	Registration No. (Attorney/Agent)   40,305				
Signature	Date March 24, 2004				

This collection of information is required by 37 CPR 1.\$3(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to re-

## **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** 

espond to a collection of information unless it displays a valid OMB control number				
Complete if Known				
Application Number				
Filing Date				
First Named Inventor	Robert Guinn, Jr.			
Examiner Name				
Group Art Unit				
Attorney Docket No.	5996.00026			

METHOD OF PAYMENT	FEE CALCULATION (continued)			
1. The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES			
indicated fees and credit any overpayments to:  Deposit	Large Small			
Account Number 502466	Entity Entity Fee Fee Fee Fee Fee Possible 1	Eac Daid		
Denesit	Code (\$) Code (\$)	Fee Paid		
Account Name Quirk & Tratos Chtd	105 130 205 65 Surcharge - late filing fee or oath			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet			
Applicant claims small entity status.	139 130 139 130 Non-English specification			
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination			
2. Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to			
Check Credit card Money Other	Examiner action			
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action			
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month			
Large Entity Small Entity	116 400 216 200 Extension for reply within second month			
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 920 217 460 Extension for reply within third month			
101 740 201 370 Utility filing fee 385.00	118 1,440 218 720 Extension for reply within fourth month			
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month			
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal			
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal			
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing			
2007274 (4) (4) 205 00	138 1,510 138 1,510 Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 385.00	140 110 240 55 Petition to revive - unavoidable			
2. EXTRA CLAIM FEES Fee from	141 1,280 241 640 Petition to revive - unintentional			
Ext <u>ra Claims below</u> Fee Paid	142 1,280 242 640 Utility issue fee (or reissue)	···		
Total Claims $37 - 20^{-4} = 17 \times 9.00 = 153.00$	143 460 243 230 Design issue fee			
Independent 6 - 3** = 3 x 43.00 = 129.00	144 620 244 310 Plant issue fee			
Multiple Dependent	122 130 122 130 Petitions to the Commissioner			
Law Bully A. Hand	123 50 123 50 Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt			
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)	40.00		
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection			
104 280 204 140 Multiple dependent claim, if not paid	(37 ČFR § 1.129(a))			
109 84 209 42 ** Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))			
110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)			
and over original patent	169 900 169 900 Request for expedited examination			
SUBTOTAL (2) (\$) 282.00	of a design application  Other fee (specify)			
SUBTOTAL (2) (3) 202.00				
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00			

SUBMITTED BY	Complete (if a			f applicable)
Name (Print/Type)	Rob L. Phillips	Registration No. 40,305	. Telephone	702-792-3773
Signature	BAMI		Date	03/24/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

#### **PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. :

to be assigned

Applicant

Robert Guinn, Jr.

Filed Title Herewith

TOM

PLAYER TRACKING SYSTEM

TC/A.U. Examiner to be assigned to be assigned

Docket No.

5996.00026

Customer No.

29747

Mail Stop PATENT APPLICATIONS Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

# NON-PUBLICATION REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

#### Commissioner:

I hereby certify that the invention disclosed in the application submitted herewith has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the application submitted herewith not be published under 35 U.S.C. 122(b).

Quirk & Tratos 3773 Howard Hughes Pkwy. Suite 500 North Las Vegas, Nevada 89109

Telephone: 702-792-3773 Facsimile: 702 792-9002 Respectfully submitted,

By:

Rob L. Rhilips

Registration No. 40,305

Date: March 24, 2004

G:\APatent\goldenga\00026-utility\PTO.Non-Pub.wpd